

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

---

<b>Applicant(s):</b> Emerson P. Jones et al.	<b>:</b> <b>Group Art Unit:</b> 3692
<b>Serial No.:</b> 10/676,056	<b>:</b> <b>Examiner:</b> Shahid R. Merchant
<b>Filed:</b> September 30, 2003	<b>:</b> <b>Attorney Docket No.:</b> 006878.114502
<b>For:</b> METHOD AND SYSTEM FOR ANALYZING CAPITAL STRUCTURE FOR A COMPANY	<b>:</b>

---

**ELECTRONIC MAIL CERTIFICATE**

Date of Deposit: August 12, 2008

I hereby certify that the following attached paper(s) and/or fee

- (1) General Transmittal;
- (2) Petition for Extension of Time (2-month);
- (3) Amendment in Response to March 12, 2008 Office Action; and
- (4) Information Disclosure Statement with PTO/SB/08 forms.

are being transmitted electronically to the United States Patent Office on the date indicated above.

Respectfully submitted,  
**GREENBERG TRAURIG, LLP.**

/christine lucas/  
Christine Lucas  
Patent Prosecution Paralegal

Dated: August 12, 2008

CORRESPONDENCE:

Barry J. Schindler, Esq.  
**GREENBERG TRAURIG LLP**  
Met Life Building  
200 Park Avenue, 34th Floor  
New York, New York 10166  
Phone: 212-801-9200  
Fax: 212-801-6400

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

19

Application Number	10676,056
Filing Date	September 30, 2003
First Named Inventor	Emerson P. Jones
Art Unit	3682
Examiner Name	Shahid R. Merchant
Attorney Docket Number	006878.114502

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	GREENBERG TRAURIG, LLP		
Signature	/barry j schindler/		
Printed name	Barry J. Schindler		
Date	August 12, 2008	Reg. No.	32,938

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including searching, completing, and submitting the completed application form to the USPTO. Time may vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.